

12/03/01

1054 U.S. PTO

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SEL 132 DIV 1
First Inventor	Shunpei YAMAZAKI, et al.
Title	ELECTROOPTICAL DISPLAY DEVICE
Express Mail Label No.	EL 84549666US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages **38**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **10**]
- Oath or Declaration [Total Pages **1**]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS


- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
 1. Transmittal Letter. 2. Check-. \$1,388.00
 3. Appointment of Associate Attorneys from Priority Application
- ☒ Other:

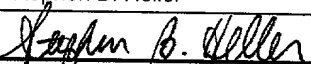
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, Application or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input checked="" type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. 09 329,597
Prior application information		Examiner Mike Qi	Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		or	<input type="checkbox"/> Correspondence address below
Name	26568		
	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Stephen B. Heller	Registration No. (Attorney/Agent)	30,181
Signature		Date	December 3, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "EXPRESS MAIL"	
"Express Mail" Mailing Label No.: <u>EL845496666US</u>	
Date of Deposit <u>December 3, 2001</u>	
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202	
NAME	<u>Yue x Ruan</u>
SIGNATURE	<u>Yue x Ruan</u>

Docket No.: SEL 132 DIV 1

Prior Application:

Examiner: Mike Qi

Art Unit: 2871

Commissioner for Patents
Washington, D.C. 20231

Dear Sir/Madam:

This is a request for filing a [] continuation [X] divisional application of pending prior application Serial No. 09/329,597 filed on June 10, 1999 for Electrooptical Display Device.

1. Enclosed is a copy of the prior application, including the oath or declaration as originally filed.

I hereby verify that the attached papers are a true copy of prior application Serial No. 09/329,597 as originally filed on June 10, 1999 and further that this statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may

jeopardize the validity of the application or any patent issuing thereon.

2. ☒ The filing fee is calculated below pursuant to the claims as filed in the prior application:

a. ☐ less any claims canceled by Amendment below.

b. ☒ after entering the attached Preliminary Amendment.

☐ Small Entity

☒ Large Entity

For	Number Filed	Number Extra	Rate	Fee
Total Claims	42-20	22	x \$18	\$ 396.00
Independent Claims	6-3	3	x \$84	\$ 252.00
Multiple Dependent Claims (minimum fee - additional charge may be due under total claim calculation - See 37 CFR §§ 1.16(d) and 1.75(c))			\$280.00	
Basic Fee (minimum amount required)			\$740.00	\$ 740.00
Total Filing Fee				\$1,388.00

3. ☒ The Commissioner is hereby authorized to charged any additional fees which may be required, or credit any overpayment to Account No. 50/1039. A duplicate copy of this sheet is enclosed.

4. ☒ A check in the amount of \$1,388.00 is enclosed.

5. ☒ Cancel in this application original claims 1-35 of the prior application before calculating the filing fee.
- ☒ Enter the enclosed Preliminary Amendment prior to calculating the filing fee.
6. ☐ Amend the specification by inserting before the first line the sentence: --This is a ☐ continuation, ☒ division, of application serial no. _____, filed _____.--
- ☒ Amend the specification per the attached Preliminary Amendment.
7. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by person authorized by 37 C.F.R. § 1.138 and before payment of base issue fee.)
- ☒ New formal drawings are enclosed.
- ☐ Informal drawings are enclosed.
8. ☒ Priority of application serial no. 10-165723 filed on June 12, 1998 in Japan is claimed under 35 U.S.C. § 119.

[X] The certified copy of the priority application has been filed in prior application serial no. 09/329,597, filed June 10, 1999.

9. [X] With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. § 120 the inventor(s) in this application are:

a. [X] the same.

b. [] less than those named in the prior application and it is requested that the following inventor(s) for the prior application be deleted: _____

_____.

10. [X] The inventorship for all the claims in this application is:

a. [X] the same.

b. [] not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

11. [X] The prior application is assigned of record to Semiconductor Energy Laboratory Co., Ltd..

12. [X] The power of attorney in the prior application is to: Edward D. Manzo registration no. 28,139 of Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.

a. [X] The power appears in the original papers in the prior application. (A copy is enclosed.)

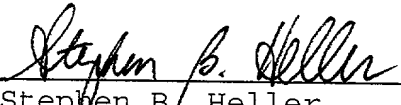
b. [] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. [X] Address all future communications to:

Edward D. Manzo
Cook, Alex, McFarron, Manzo,
Cummings & Mehler, Ltd.
200 West Adams Street, #2850
Chicago, Illinois 60606

Respectfully submitted,

Date: 3 December 2001


Stephen B. Heller
Registration No. 30,181

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